



HAROLD DANCE INVESTMENTS

Financial Advisors Since 1959

Brochure Supplement

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Stephen F. Neeley
Kent Haueter
Aaron J. Neeley
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Disclaimer

This brochure provides information about Stephen F. Neeley, Kent Haueter, Aaron J. Neeley, Daniel J. Neeley, and Jack W. Peterson, who serve as Investment Advisor Representatives (hereafter “IAR”) of Harold Dance Investments (hereafter “HDI”). This document supplements the Firm Disclosure Brochure. You should have received a copy of that brochure. If you did not receive a copy of the HDI brochure or if you have any questions about the contents of this supplement, please contact Stephen F. Neeley, President and Chief Compliance Officer of HDI at 435-752-8484 or steve@harolddance.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (hereinafter “SEC”) or by any state securities authority.

Additional information about the above referenced individuals is available on the SEC’s website at www.adviserinfo.sec.gov. In using the website, it may be helpful to reference the following CRD numbers:

<i>Name</i>	<i>CRD Number</i>
Stephen F. Neeley	3235100
Kent Haueter	843840
Aaron J. Neeley	6001558
Daniel J. Neeley	5556337
Jack W. Peterson	3110933

Educational Background and Business Experience

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Stephen F. Neeley – *Year of Birth:* 1960 – *Formal Education After High School:* Bachelor of Arts, Utah State University, 1984, Master of Business Administration, Kellogg Graduate School of Management, Northwestern University, 1985. *Business Background for the Preceding Five Years:* President, HDI, 2004-Present.

Kent B Haueter – *Year of Birth:* 1948 – *Formal Education After High School:* Bachelor of Science, Utah State University, 1975, Master of Business Administration, Utah State University, 1982. *Business Background for the Preceding Five Years:* Financial Advisor, HDI, 2006-Present.

Aaron J. Neeley – *Year of Birth:* 1986 – *Formal Education After High School:* Bachelor of Science in Finance and Bachelor of Science in Economics, Utah State University, 2011. *Business Background for the Preceding Five Years:* Associate, Goldman Sachs, 2012-2015; Financial Advisor, HDI, 2015-Present.

Daniel J. Neeley – *Year of Birth:* 1982 – *Formal Education After High School:* Bachelor of Science, Utah State University, 2006, Master of Accountancy, Utah State University, 2007. *Business Background for the Preceding Five Years:* Financial Advisor, HDI, 2008-Present.

Jack W. Peterson – *Year of Birth:* 1951 – *Formal Education After High School:* Bachelor of Science, Utah State University, 1975, Master of Business Administration, Utah State University, 1976. *Business Background for the Preceding Five Years:* Faculty, Utah State University, 2005-2012; Financial Advisor, HDI, 2009-Present.

Disciplinary Information

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None of the IARs listed in this brochure supplement have had any legal or disciplinary events in their past. Clients and prospective clients can view the CRD records (registration records) for these IARs through the SEC's Investment Adviser Public Disclosure (IAPD) website at www.adviserinfo.sec.gov or through FINRA's BrokerCheck database online at www.finra.org/brokercheck. The applicable CRD numbers are listed on the first page of this brochure supplement.

Other Business Activities

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Stephen F. Neeley, Kent Haueter, Aaron, J. Neeley, Daniel J. Neeley, and Jack W. Peterson also serve as registered representatives of HDI's Broker-Dealer (hereafter "B-D") selling mutual funds, fixed and variable annuities, and other insurance products. The implications of this dual registration are explained in detail in the Advisory Business, Fees and Compensation, and Other Financial Industry Activities and Affiliations sections of the Firm Disclosure Brochure.

IAR Jack W. Peterson, CPA, serves HDI on a part-time basis. He also performs accounting services on a limited, part-time basis. HDI is not affiliated with his work as an accountant.

Additional Compensation

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Other than compensation paid through HDI's B-D, none of the IARs receive any additional compensation from non-clients for providing advisory services. All advisory compensation is paid by clients directly.

Supervision

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Stephen F. Neeley, President, is primarily responsible for supervision of HDI and its IARs. Because he is an IAR himself, his accounts are reviewed by Daniel J. Neeley, Vice President. Advisory clients may contact either of them directly at 435-752-8484.

Requirements for State-Registered Advisers

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Because HDI is a state-registered investment adviser, it would be required to disclose certain other information about its IARs if applicable. HDI has no information to disclose in this regard.